



## SWISSCANTO (LU)

(hereinafter referred to as the «Fund»)  
An investment fund under Luxembourg law

## Simplified sales Prospectus February 2010

This simplified sales Prospectus is to be read with the latest annual report (or semi-annual report if the latter was published after the last annual report). These reports are an integral part of this simplified sales Prospectus and, in conjunction with it, serve as the basis for all subscriptions of fund units. They can be obtained free of charge from all selling agents.

Please refer to the full sales Prospectus for more detailed information about the Fund.

### 1 Brief description of the Fund

#### 1.1 General details about the Fund

The Fund was launched 5 December 2006. It is subject to the statutory provisions of Part I of the Luxembourg Law Governing Undertakings for Collective Investments of 20 December 2002 (hereinafter referred to as the «UCI Act»). The Fund is established for an indefinite term.

At present, the Fund comprises the following subfunds, which are designated by the Fund name SWISSCANTO (LU), with a subfund-specific suffix. Subfunds with a similar investment policy will be listed in groups.

Subfund designation	Currency of account	Unit classes <sup>1</sup>	Max. com-mission	Max. annual com-mission <sup>2</sup>
<b>The «CAPITAL PROTECT» subfunds</b>				
1. CAPITAL PROTECT 2014	CHF	B	5.0%	1.0%
2. CAPITAL PROTECT GREEN INVEST 2015	CHF	B	5.0%	1.45%
<b>The «GREEN INVEST EMERGING MARKETS» subfund</b>				
3. EQUITY FUND GREEN INVEST EMERGING MARKETS	USD	B, J	5.0%	2.5%

<sup>1</sup> Upon entry into force of the present simplified sales Prospectus these unit classes had been issued or their launch dates were known.

<sup>2</sup> The management fee actually charged will be stated in the respective annual or semi-annual report.

The fund management company is authorised to offer the following classes of unit for each subfund:

- Class A units, which are open to subscription by all investors and confer the right to an annual distribution;
- Class B units, which are open to subscription by all investors and do not confer the right to an annual distribution because increases in value are re-invested;
- Class I units, which are open to subscription only by institutional investors with professional treasury operations – this means that they are open to subscription by banks and securities traders, fund management companies, insurance companies, pension funds, institutions under public law and commercial, industrial and service companies; pension and similar institutions, as well as charitable foundations, are also regarded as institutional investors provided they have professional treasury operations – and confer the right to an annual distribution;
- Class J units, which are open to subscription only by institutional investors with professional treasury operations – this means that they are open to subscription by banks and securities traders, fund management companies, insurance companies, pension funds, institutions under public law and commercial, industrial and service companies; pension and similar institutions, as well as charitable foundations, are also regarded as institutional investors provided they have professional treasury operations – and do not confer the right to an annual distribution because increases in value are re-invested;

- Class AST units, which are open to subscription only by Swisscanto investment foundations (Swisscanto Anlagestiftungen) and the Swisscanto pension fund (Swisscanto Pensionskasse), and confer the right to an annual distribution.

The Board of Directors is authorised at any time to issue units of the other unit classes provided for in this simplified sales Prospectus, and to announce this fact in the official publications.

The Board of Directors is authorised to create further unit classes at any time. The Board of Directors may also decide, where appropriate for economic or legal reasons, to cancel one class of units and to exchange outstanding units within a subfund for units of other unit classes.

#### 1.2 Fund management company/Portfolio Manager

The fund management company is SWISSCANTO (LU) MANAGEMENT COMPANY S.A., which has its registered office at 69, route d'Esch, L-1470 Luxembourg.

Management of the assets has been assigned to Swisscanto Asset Management Ltd., Berne acting as Portfolio Manager. The Portfolio Manager is directed to invest the fund assets in the interests of the unitholders.

#### 1.3 Custodian bank, main paying agent, central management agent, registrar, transfer agent

RBC Dexia Investor Services Bank S.A., 14, Porte de France, L-4360 Esch-sur-Alzette

#### 1.4 Central order collecting point

Swisscanto Funds Centre Limited (hereinafter «SFCL»), 4th Floor, 51 Moorgate, GB-London EC2R 6BH

#### 1.5 Independent auditing company

KPMG Audit S.à.r.l., 9, Allée Scheffer, L-2520 Luxembourg

#### 1.6 Fund promoter

Swisscanto Asset Management Ltd., Nordring 4, P.O. Box 730, CH-3000 Berne 25

Swisscanto Asset Management AG merged with Swisscanto Funds Management Ltd with effect from 21 December 2009, and the latter was renamed Swisscanto Asset Management AG. Since it was founded as a public limited company in 1960 with its registered office in Berne, the fund management company has been active in the fund business and is subject in Switzerland to supervision by the Swiss Financial Market Supervisory Authority (FINMA). As at 30 June 2009 the company had a subscribed equity capital of CHF 5 million. The equity capital is divided into registered shares and is fully paid in. The sole shareholder is Swisscanto Holding Ltd., Berne, a company in which all cantonal banks own shares.

### 2 Information about the investments

#### 2.1 Investment objective

The subfunds seek to achieve long-term capital growth combined with an appropriate return, while taking into account «capital preservation» and the «liquidity» of fund assets.

#### 2.2 Subfund-specific investment policy

##### A. SWISSCANTO (LU) CAPITAL PROTECT 2014

A.1 Investment objective  
The investment objective of the SWISSCANTO (LU) CAPITAL PROTECT 2014 subfund is to invest in a conservative, interest-generating portfolio.

A.2 Expiration  
The subfund is issued for a specific period and will be liquidated at the end of its term, i.e. on 31 January 2014.

A.3 Investment policy and capital guarantee

A.3.1 Investment policy  
The assets of the subfund are invested, in accordance with the principle of risk diversification, worldwide in variable- and fixed-interest-bearing securities and money market instruments (bonds, notes (including zero-coupon notes) and similar) («interest-bearing securities») since this is the only means of providing a capital guarantee at the end of the subfund's contractual term. Investments in

equity securities and participation rights are therefore ruled out until the end of the subfund's contractual term.

The currency risk involved in investments in currencies other than the CHF may be hedged by means of currency futures contracts.

#### A.3.2 Capital guarantee

Put options have been acquired by Zürcher Kantonalbank («ZKB») in favour of the subfund. The effect of these put options is comparable with a capital guarantee at the end of the contractual term (hereinafter «capital guarantee»). The option premiums are paid from the fund management company's own assets. The subfund is entitled to the rights pertaining to the options acquired from the assets of the fund management company, but they do not become a valued component of the net asset value during the contractual term of the subfund. They are used to guarantee a minimum redemption price per unit at the end of the subfund's contractual term only.

The capital guarantee ensures that a minimum redemption price per unit can be paid when the subfund is terminated, i.e. on 31 January 2014. **The capital guarantee described here does not apply to investors who demand the redemption of their subfund units before the end of the fund term, i.e. prior to 31 January 2014.**

#### A.4 Risk connected with the capital guarantee by means of put options

The subfund is exposed to the risk that the counterparty under the put options could fail to fulfill its obligations at the end of the contractual term.

### B. SWISSCANTO (LU) CAPITAL PROTECT GREEN INVEST 2015

#### B.1 Investment objective

The investment objective of the SWISSCANTO (LU) CAPITAL PROTECT GREEN INVEST 2015 subfund is to invest in a conservative, interest-generating portfolio.

#### B.2 Expiration

The subfund is issued for a specific period and will be liquidated at the end of its term, i.e. on 25 February 2015.

#### B.3 Investment policy and capital protection

##### B.3.1 Investment policy

The assets of the subfund are invested, in accordance with the principle of risk diversification, worldwide in interest-bearing securities and money market instruments (bonds, notes (including zero-coupon notes) and similar) («interest-bearing securities») since this is the only means of providing a capital guarantee at the end of the subfund's contractual term. Investments in equity securities and participation rights are therefore ruled out until the end of the subfund's contractual term.

The selection of the securities takes account of the criteria for sustainability. For these purposes, the subfund will invest at least two thirds of its total assets in securities, which meet the principle of sustainability.

Sustainable companies are companies whose products and services produce an economic, ecological and social benefit over the long term. Ecological benefit includes the concept of eco-efficiency, which is understood as meaning the creation of added value through a reduction in the use of resources, and the production of less waste and fewer emissions, etc. Stocks are selected by a multi-stage process which entails conducting an assessment according to the criteria of ecological, economic and social relevance. A modified environmental and social audit procedure is used for issuers other than companies (national, regional and local government and supranational institutions).

The currency risk involved in investments in currencies other than the CHF may be hedged by means of currency futures contracts.

##### B.3.2 Capital guarantee

Put options have been acquired by Zürcher Kantonalbank («ZKB») in favour of the subfund. The effect of these put options is comparable with a capital guarantee at the end of the contractual term (hereinafter «capital guarantee»). The option premiums are paid from the fund management company's own assets. The subfund is entitled to the rights pertaining to the options acquired from the assets of the fund management company, but they do not become a valued component of the net asset value during the contractual term of the subfund. They are used to guarantee a minimum redemption price per unit at the end of the subfund's contractual term only.

The capital guarantee ensures that a minimum redemption price per unit can be paid when the subfund is terminated, i.e. on 25 February 2015. **The capital guarantee described here does not apply to investors who demand the redemption of their subfund units before the end of the fund term, i.e. prior to 25 February 2015.**

##### B.4 Risk connected with the capital guarantee by means of put options

The subfund is exposed to the risk that the counterparty under the put options could fail to fulfill its obligations at the end of the contractual term.

### C. SWISSCANTO (LU) EQUITY FUND GREEN INVEST EMERGING MARKETS

#### C.1 Investment objective

The investment objective of the SWISSCANTO (LU) EQUITY FUND GREEN INVEST EMERGING MARKETS is to enable the investor to participate in the positive performance of global equity markets in the emerging markets segment for long-term investments. The emerging markets are so-called newly industrialising countries, i.e. countries whose economic development has brought them to the threshold of industrialisation, in Europe, Asia, Central and South America and Africa. Investments in these countries involve risks. These are set out in Section 2.5 A below.

#### C.2 Investment policy

In pursuit of this objective, at least two thirds of the subfund's total assets will be invested in accordance with the principle of risk diversification in equity securities and participation rights within the meaning of Section 2.3.1 a) of the full sales Prospectus in companies that have their registered office or the majority of their business operations in the emerging markets. Sustainability criteria are also considered when selecting the securities. At least two thirds of the subfund's total assets are invested in securities from issuers who take due account of the principle of sustainability («sustainable companies»). Sustainable companies are companies whose products and services produce an economic, ecological and/or social benefit over the long term. Ecological benefit includes the concept of eco-efficiency, which is understood as meaning the creation of added value through a reduction in the use of resources, and the production of less waste and fewer emissions, etc.

Furthermore the subfund can hold liquidity pursuant to Section 2.3.1 d) of the sales Prospectus and invest in all the other instruments mentioned in Section 2.3 within the legally and contractually prescribed limits.

The currency risk involved in investments in currencies other than the USD may be hedged by means of currency futures contracts.

### 2.3 Authorised investments

- Securities and money market instruments that are traded either on a stock exchange or on another regulated market;
- Fixed- and variable-interest securities and money market instruments that are traded either on a stock exchange or on another regulated market;
- Securities and money market instruments from new issues, provided they are admitted to trading on a stock exchange or another regulated market within one year;
- Money market instruments that are not traded on a stock exchange or a regulated market, provided they fall within the scope of the UCI Act;
- Investments in fund units, in particular also investment funds under Swiss law, insofar as they are permitted by the UCI Act and, provided they pursue an investment policy that is similar to the subfund in question or parts thereof;
- Demand and time deposits, provided they fall within the scope of the UCI Act;
- Derivative financial instruments (derivatives), provided they fall within the scope of the UCI Act;
- Investments other than the authorised investments listed above, if such investments can be deemed equivalent to securities in particular because they can be transferred, sold, and valued at any time.
- The CAPITAL PROTECT 2014 and CAPITAL PROTECT GREEN INVEST 2015 subfunds are not permitted to invest in equity securities or participation rights. Nor may the underlying securities of derivatives be equity securities or participation rights.

Please refer to Section 2 of the full sales Prospectus for a detailed description of the authorised investments and the investment restrictions.

### 2.4 Investor profile

The subfunds are directed primarily towards private investors. A number of subfunds also issue classes of units which may be subscribed for only by institutional investors with professional treasury operations.

The subfunds are designed in particular for investors domiciled in Luxembourg, Switzerland, Austria, the Federal Republic of Germany and the Principality of Liechtenstein as well as other countries in which the subfunds are admitted for public sale.

#### SWISSCANTO (LU) CAPITAL PROTECT 2014 and SWISSCANTO (LU) CAPITAL PROTECT GREEN INVEST 2015

The CAPITAL PROTECT 2014 and CAPITAL PROTECT GREEN INVEST 2015 subfunds are suitable for investors who are familiar with the capital markets and who wish to share in the development of the capital and financial markets while at the same time hedging their investment with a predefined guaranteed lump sum at the end of the lifetime of the subfund (in 2014 resp. 2015). However, the investor must accept that the value of their investment may trend negatively until it has been held for a certain minimum period or until the fund is terminated. The CAPITAL PROTECT 2014 and CAPITAL PROTECT GREEN INVEST 2015 subfunds are closed to subscriptions from the time that ZKB's commitment commences with regard to the provision of the capital guarantee (for more information see Sections A.3.2 and B.3.2).

## SWISSCANTO (LU) EQUITY FUND GREEN INVEST EMERGING MARKETS

The subfund is suitable for investors with a long-term investment horizon who are primarily seeking growth in their invested capital. Investors are able to accept relatively strong fluctuations and a relatively protracted decline in the net asset value of the fund's units. They are familiar with the principal risks involved in equity investments and aware that equity investments in emerging markets entail a greater degree of risk than in more developed countries.

### 2.5 Risk profile and historical performance of the subfunds

#### A. The following risk profile applies to all of the subfunds:

The net asset value of the units may rise or fall. When redeeming their units, unitholders may therefore receive less than they originally paid for them. There is no guarantee of a return on investment.

In addition to the general market risks that are associated with monetary investments, there exists a counterparty risk and the currency and transfer risk inherent in investments abroad.

Investment risk is reduced in that, in accordance with the investment policy, the investments are geared towards ensuring a reasonable distribution of risk.

Nevertheless, it must be emphasised that equity investments are subject to risks. The prices of investments may both rise and fall against the original price. This depends, in particular, on the development of capital markets and national economies as a whole, as well as individual sectors in those economies, or on the specific developments affecting the issuers in question. The credit risk associated with an investment in equity securities cannot be completely ruled out, even where investments are chosen with care.

#### Derivative financial instruments

The Fund takes up additional risk positions by using derivatives in the pursuit of its investment objective. In general, investments using derivatives often involve higher risks than direct investments in securities. The potential risks attached to such investments may, for example, result from their complexity, non-linearity, high volatility, lower liquidity, limited tradability or their counterparty risk.

Exposure on the futures and options market and that inherent in swaps and foreign exchange transactions is associated with investment risks and transaction costs to which the Fund would not be subject had it not applied such strategies.

These risks include:

- The risk that the fund management company's forecasts about future trends in interest rates, securities prices and the foreign currency markets prove in retrospect to be incorrect;
- The incomplete correlation between the prices of futures and options contracts, on the one hand, and movements in the prices of the securities or currencies they are intended to hedge, on the other, means that a complete hedge may not be possible in some circumstances;
- The potential absence of a liquid secondary market for a specific instrument at a given point in time may mean that a derivative position cannot, under certain circumstances, be closed out at a profit, even though this would make sense from an investment policy perspective;
- The risk that the securities underlying derivative instruments cannot be sold at a favourable point in time, or that they must be bought or sold at an unfavourable point in time;
- The use of derivatives may result in a potential loss which may be impossible to predict and which may even exceed margin payments;
- The risk of insolvency or payment default on the part of a counterparty.

Various risks are associated with investment in securities in newly industrialising countries. These mainly relate to the headlong pace of the economic development process that some of these countries are going through, and in this connection no assurance can be given that this development process will continue in future years. Furthermore the low capitalisations of most of these markets tend to make them volatile and illiquid. Other factors – such as political changes, exchange rate movements, stock market supervision, taxes, restrictions on the investment and repatriation of foreign capital, etc. – may also impair the marketability of stocks and the earnings they produce. Furthermore, these companies may be subject to much less intensive state regulation and relatively undifferentiated legislation. Their accounting and auditing do not always meet the standards to which we are accustomed.

Investing fund assets in units of target funds also gives rise to the risk that the net asset value of a subfund may have been calculated incorrectly. This would inevitably have undesirable consequences for the calculation of net asset value of the subfund investing in that target fund.

Where target funds are subfunds of an umbrella fund, the acquisition of units may give rise to an additional risk if the umbrella fund is responsible to third parties for the entirety of each subfund's liabilities.

#### B. The historical yield per subfund is as follows:

Past performance is no indication of future performance and does not guarantee success in the future. The performance data assume that any distributions are reinvested and do not take account of commissions and costs charged for the issuing and redemption of units.

Datasource:

Lipper, a Reuters Company / Swisscanto

#### 1. SWISSCANTO (LU) CAPITAL PROTECT 2014

(The subfund was opened for subscription for the first time in January 2007.)

##### Unit class B



Indexed development NAV (distribution included)  
 Annual performance in %  
 Guaranteed level at the end of the contractual term

	2005	2006	2007	2008	2009
Annual performance	n/a	n/a	-2.66%	-2.90%	4.19%

#### 2. SWISSCANTO (LU) CAPITAL PROTECT GREEN INVEST 2015

(The subfund was opened for subscription for the first time in February 2008.)

##### Unit class B



Indexed development NAV (distribution included)  
 Annual performance in %  
 Guaranteed level at the end of the contractual term

	2005	2006	2007	2008	2009
Annual performance	n/a	n/a	n/a	n/a	3.55%

### 3. SWISSCANTO (LU) EQUITY FUND GREEN INVEST EMERGING MARKETS

(The subfund was opened for subscription for the first time in August 2008.)

#### Unit class B



Indexed development NAV (distribution included)

Annual performance in %

	2005	2006	2007	2008	2009
Annual performance	n/a	n/a	n/a	-27.97%	83.08%

#### Unit class J



Indexed development NAV (distribution included)

Annual performance in %

	2005	2006	2007	2008	2009
Annual performance	n/a	n/a	n/a	-27.84%	84.09%

### 3 Taxes, costs and charges

- Investors are obliged to pay the quarterly «taxe d'abonnement» of 0.05% p.a. of the net asset value of units in classes A and B, and 0.01% p.a. of the net asset value of units in classes I and J and AST in the Grand Duchy of Luxembourg.
- The Fund's earnings are not taxed in Luxembourg (subject to the EU Directive on taxation of interest income).
- At present, no tax is deducted at source on distributions by the Fund.
- Under current legislation, unitholders do not have to pay income tax, wealth tax or any other tax in Luxembourg, unless they are or have been resident in Luxembourg or operate a business there to which the units belong.
- The fund management fee to be paid as an all-in fee to the fund management company amounts to a maximum of 1.0% p.a. for the CAPITAL PROTECT 2014 subfund, to a maximum of 1.45% p.a. for the CAPITAL PROTECT GREEN INVEST 2015 subfund and to a maximum of 2.5% p.a. for the EQUITY FUND GREEN INVEST EMERGING MARKETS subfund. The commissions that are actually charged will be published in the semi-annual and annual reports.
- In the case of distribution units distributions are subject to the various national provisions implementing the EU Interest Taxation Directive (2003/48/EC); whether or not the redemption of units is also subject to these provisions will be determined annually on the basis of a review of individual subfund assets (asset test).

#### Total Expense Ratio (TER):

(excluding securities transaction costs)

	2006 <sup>3</sup>		2007 <sup>4</sup>		2008 <sup>5</sup>	
	B	B	J	B	J	
SWC (LU) CAPITAL PROTECT 2014 <sup>6</sup>	1.56%	1.56%	n/a	0.29%	n/a	
SWC (LU) CAPITAL PROTECT GREEN INVEST 2015 <sup>7</sup>	n/a	1.64%	n/a	1.41%	n/a	
SWC (LU) EQUITY FUND GREEN INVEST EMERGING MARKETS <sup>8</sup>	n/a	2.10%	1.56%	2.28%	1.71%	

#### Portfolio Turnover Rate (PTR)

according to EU-Norm<sup>9</sup>:

	2006 <sup>3</sup>	2007 <sup>4</sup>	2008 <sup>5</sup>
SWC (LU) CAPITAL PROTECT 2014	-0.91%	145.03%	326.09%
SWC (LU) CAPITAL PROTECT GREEN INVEST 2015	n/a	-6.92%	383.53%
SWC (LU) EQUITY FUND GREEN INVEST EMERGING MARKETS	n/a	-68.38%	48.54%

<sup>3</sup> For the financial year from 01.10.2006 to 30.09.2007.

<sup>4</sup> For the financial year from 01.10.2007 to 30.09.2008.

<sup>5</sup> For the financial year from 01.10.2008 to 30.09.2009.

<sup>6</sup> The subfund was opened for subscription for the first time in January 2007, TER in 2006 annualized.

<sup>7</sup> The subfund was opened for subscription for the first time in February 2008, TER in 2008 annualized.

<sup>8</sup> The subfund was opened for subscription for the first time in August 2008, TER in 2008 annualized.

<sup>9</sup> PTR for all classes of units identical.

### 4 Commercial information

#### 4.1 Conditions for the issue, redemption and conversion of units

- Units in a subfund will be issued or redeemed on any bank business day in Luxembourg. Units will not be issued or redeemed on days on which the stock exchanges in the subfunds' principal investment countries are closed or the subfunds' investments cannot be properly valued. No issuance, redemption or conversion takes place on days on which the fund management company has decided not to calculate a net asset value.
- Units are issued, redeemed and converted on the basis of orders received by the custodian bank, by the fund management company or on the basis of orders forwarded by a selling agent to the central order collecting point (SFCL) during usual local business hours but by no later than 4.00 p.m. Luxembourg time on any Luxembourg bank business day (order day).
- The net asset value used for the calculation of the issue, redemption and conversion price is calculated on the following valuation day, on the basis of the last known prices. Orders received after 4.00 p.m. will be treated in the same way as those received on the following bank business day.
- Subscriptions, redemptions and conversions are thus effected on the basis of an unknown net asset value (forward pricing).

#### 4.2 Sale of units

- Is conducted at the issue price, which is equal to the net asset value calculated on the next valuation day plus a commission (a maximum of 5% of the net asset value per unit).
- The issue price is payable within three bank working days after receipt of the subscription order. This period may be extended to a maximum of five days, however.
- The CAPITAL PROTECT 2014 and CAPITAL PROTECT GREEN INVEST 2015 subfunds are closed to subscriptions from the time that ZKB's commitment commences with regard to the provision of the capital guarantee (for more information see Sections A.3.2 and B.3.2).
- The units are in bearer form. They do not take the form of actual certificates but exist purely as book entries. Physical units that have already been issued shall remain valid. They must be returned at the latest with the application for redemption.

#### 4.3 Redemption of units

- In principle, the fund management company will redeem units of the Fund at any time at the redemption price, against surrender of the corresponding unit certificates.
- Under normal circumstances, fund units will be paid out free of charge within five bank business days of the calculation of the redemption price.

#### **4.4 Conversion of units in one subfund into units of another subfund**

- Possible on any day on which the net asset value of the subfund is calculated.
- Institutional units in classes I and J may be converted only into other institutional units, while class A units may be converted only into class B units and vice-versa, as well as into class A and class B units in other subfunds. Class AST units may be converted only into other class AST units.
- The prerequisite is a conversion application to the fund management company for at least ten units of a subfund or unit class, in addition to the surrender of the unit certificates, if these have been issued.

#### **4.5 Utilisation of net income and capital gains**

- The fund management company will decide, after closing the annual accounts, whether and to what extent distributions are to be made on the distribution units of classes A, I and AST.
- Where distribution units of classes A, I and AST are concerned, the fund intends to distribute the greater part of earnings and to make such distributions within four months of the close of the financial year.
- No distributions are intended for the capital growth units of classes B and J. After the deduction of general costs, earnings will be used to increase the net asset value of the units (capital growth).

#### **4.6 Price information, publications**

- Information on the net asset value and the issue and redemption prices of the units is available at the registered office of the fund management company on all bank business days.
- The issue and redemption prices i.e. the net asset value for all share classes together with the note «excluding commission», as well as any notifications relating to a suspension of net asset value calculations will be published on every bank business day on the Internet platform of Swiss Fund Data AG on [www.swissfunddata.ch](http://www.swissfunddata.ch).

### **5 Additional information**

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- The sales Prospectus, the simplified sales Prospectus, the audited annual accounting reports, as well as the unaudited semi-annual reports are available to unitholders from the registered office of the fund management company and from the paying and selling agents.
- The relevant supervisory authority in Luxembourg is the «Commission de surveillance du secteur financier» (CSSF).
- Any additional information which may be required is available from the registered office of the fund management company.

**Fund management company:**  
**SWISSCANTO (LU) MANAGEMENT COMPANY S.A.**

**Custodian bank:**  
**RBC DEXIA INVESTOR SERVICES BANK S.A., LUXEMBOURG**

# INFORMATION ON THE SALE OF UNITS ABROAD

## 1 In Switzerland

- 1.1 The Basler Kantonalbank, Spiegelgasse 2, 4002 Basle, has been appointed as the Fund's representative and paying agent in Switzerland.
- 1.2 Selling agents in Switzerland are the Basler Kantonalbank, as well as all cantonal bank branches in Switzerland and Bank Coop AG, Basle.
- 1.3 The sales Prospectus, simplified sales Prospectus, contractual conditions and the annual and semi-annual reports can be obtained free of charge from the representative as well as from the other selling agents, from the paying agent and from the fund promoter (Swisscanto Asset Management Ltd., Berne).
- 1.4 In Switzerland, any announcements concerning the Fund will be made in the «Swiss Official Gazette of Commerce» (Schweizerisches Handelsamtsblatt) and on the Internet platform of Swiss Fund Data AG on [www.swissfunddata.ch](http://www.swissfunddata.ch).
- 1.5 Issue and redemption prices, i.e. the net asset value together with the note «excluding commission», for all share classes will be published on each bank business day on the Internet platform of Swiss Fund Data AG on [www.swissfunddata.ch](http://www.swissfunddata.ch).
- 1.6 If, in the case of a subfund, the net asset value is calculated using the swinging single pricing method (hereinafter «SSP» method), this means the published net asset value is a modified net asset value.  
The Board of Directors has decided to introduce the «SSP» method to calculate the net asset value of the EQUITY FUND GREEN INVEST EMERGING MARKETS subfund only, effective 15 January 2010.  
With the «SSP» method, the incidental expenses (transactions costs) incurred by subscriptions and redemptions for the purchase and sale of investments (standard brokerage fees, commissions, tax charges, bid/ask spreads, etc.) are also taken into account for the calculation of the net asset value. The flow of net capital due to subscriptions and redemptions determines the volume necessary for the portfolio adjustment. The maximum adjustment is 1% of the net asset value of the subfund in question.  
The transaction costs incurred by subscriptions and redemptions on the trading day must be borne by the investors applying for these subscriptions or redemptions. If the subscriptions on a given valuation day exceed the redemptions, the fund management company adds the transaction costs incurred by the subscriptions and redemptions to the calculated net asset value (valuation net asset value; this is a modified net asset value). If the redemptions on a given valuation day exceed the subscriptions, the fund management company subtracts the transaction costs incurred by the subscriptions and redemptions from the calculated valuation net asset value (this is a modified net asset value). The surcharge or discount on the valuation net asset value in the case of the transaction costs incurred on the subscriptions or redemptions is made at a flat rate in each case and is based on an average value from a previous period of one year maximum.
- 1.7 Unitholders may obtain further information from the representative in Switzerland and the fund promoter.

## 2 In the Federal Republic of Germany

- 2.1 The paying agent in the Federal Republic of Germany is DekaBank, Deutsche Girozentrale, Mainzer Landstrasse 16, D-60325 Frankfurt am Main (hereinafter the «German Paying Agent and Enquiries Office»).
- 2.2 Applications for the redemption and conversion of subfund units which may be offered for public sale in the Federal Republic of Germany may be submitted to the German Paying Agent and Enquiries Office. Redemption proceeds and any distributions, as well as other payments to unitholders, may be routed at the latter's request through the German Paying Agent and Enquiries Office.
- 2.3 Paper copies of the sales Prospectus, simplified sales Prospectus, contractual conditions, the audited annual accounting reports as well as the unaudited semi-annual reports can be obtained free of charge from the German Paying Agent and Enquiries Office.
- 2.4 The net asset value per unit of each subfund or each unit class, as well as issue, redemption and any conversion prices are also available free of charge from the German Paying Agent and Enquiries Office. Furthermore, the issue and redemption prices and any communications to unitholders will be published in the «Börsen-Zeitung» newspaper, Frankfurt am Main.
- 2.5 Unitholders may also request to see the memorandum and articles of association of the fund management company, as well as the custodian bank agreement between the fund management company and the custodian bank, at the German Paying Agent and Enquiries Office during usual business hours.

## 3 In Austria

- 3.1 The Vorarlberger Landes- und Hypothekenbank Aktiengesellschaft, at Hypo-Passage 1, A-6900 Bregenz, has been appointed as paying agent and enquiries office in Austria.
- 3.2 The sales Prospectus, simplified sales Prospectus, contractual conditions and the annual and semi-annual reports are available free of charge from the aforementioned paying agent and enquiries office.
- 3.3 Units can be acquired and returned through the paying agent.
- 3.4 Issue and redemption prices will be published in the «Der Standard» newspaper and any announcements to unitholders will appear in the official gazette supplement (Amtsblatt) that accompanies the «Wiener Zeitung» newspaper.
- 3.5 Unitholders may obtain further information from the enquiries office in Austria and the fund promoter in Switzerland (Swisscanto Asset Management Ltd., Berne).

## 4 In the Principality of Liechtenstein

- 4.1 Valartis Bank (Liechtenstein) AG, Schaaner Strasse 27, LI-9487 Gamprin-Bendern, has been appointed as local and paying agent in Liechtenstein.
- 4.2 The sales Prospectus, simplified sales Prospectus, contractual conditions and the annual and semi-annual reports are available free of charge from the Liechtenstein paying agent.
- 4.3 Notices and changes to the full sales Prospectus, simplified sales Prospectus and contractual conditions will be published on the Internet platform of Swiss Fund Data AG on [www.swissfunddata.ch](http://www.swissfunddata.ch).
- 4.4 Net asset values will be published at least twice a month on the Internet platform of Swiss Fund Data AG on [www.swissfunddata.ch](http://www.swissfunddata.ch).