

KEY INVESTOR INFORMATION - NON-BINDING TRANSLATION

This document provides you with key investor information about this fund. It is not marketing material. The information is required by law to help you understand the nature and the risks of investing in this fund. You are advised to read it so you can make an informed decision about whether to invest.

Aquantum Active Range - unit class Seed (S)

WKN / ISIN: A2QSF4 / DE000A2QSF49

This Fund is managed by the investment management company Universal-Investment-Gesellschaft mbH.

Objectives and investment policy

The fund is actively managed.

The investment objective of the Fund is to achieve the greatest possible capital appreciation.

To achieve the investment objective, the Fund invests in various investment classes. The fund systematically trades option combinations on U.S. equity indices with the aim of exploiting equity market fluctuations and achieving a positive return that is as uncorrelated or negatively correlated to the underlying asset as possible. A corridor is established through the simultaneous buying and selling of exchange-traded options (spreads) with different maturities. The aim is for the underlying asset to move within the previously established corridor during the term of the respective spreads in order to profit via an increase in the value of the options bought. If this market fluctuation does not occur during the term of the options used, the respective spread expires worthlessly, i.e. the fund only pays or receives the option premium used. Since the corridor is limited in its profitability, the costs for the purchased options – and thus the loss – are greatly reduced in a market environment with no or only minor fluctuations. At the same time, the fat tail risk (risk of market changes beyond the edge of the normal distribution) is to be reduced by hedging spreads, which are always delta negative and vega positive due to an overhang of purchased put options. The positioning of these hedging spreads (above, at or below the corridor described above) is derived from the current market environment.

No reference is made to a benchmark in managing the Fund.

The fund may perform derivative trades in order to hedge positions or to increase its returns.

Within this scope, the choice of the individual assets is performed by the fund manager.

The investment policy is the policy being pursued at the time this document was prepared. However, it may change at any time within the limits imposed by the Fund Rules (see section "Special Terms and Conditions of Investment" in the prospectus).

Income shall remain in the fund (or: in this unit class) and shall increase the value of the units.

Investors may, in general, redeem their units with the investment management company on each exchange trading day. The investment management company may, however, suspend redemptions when extraordinary circumstances exist which, taking into consideration the interests of the unitholders, make a suspension appear necessary.

The Fund is suitable for investors with a medium- or long-term investment horizon.

The initial minimum investment amount for this unit class is EUR 10,000,000.00. There is no minimum investment amount for subsequent investments.

Risk and reward profile



This indicator relies on historic data and cannot be used for a prediction of future developments. The categorisation of the fund may change in the future and is not a guarantee. Even a fund in category 1 is not an entirely risk-free investment.

The fund has been placed in category 4 because its unit value fluctuates moderately and therefore the chance of profit but also the risk of loss can be moderate.

It may be that due to the calculation model not all risks are accounted for when placing the fund in a risk category. An extensive description of the risks is included in the section "Notes on Risks" in the prospectus. The following risks have no direct bearing on the categorisation but may nevertheless be of relevance to the fund:

- Credit risks: The fund may invest a part of its assets in debt securities issued by governments or companies. The issuers of these debt securities may become insolvent which may result in the partial or full loss of the value of their debt securities.
- Risks from the use of derivatives: The fund may use derivatives for the purposes described above under "Objectives and Investment Policy". This does result in both increased opportunities and increased risk of loss. The use of derivatives to hedge against losses may also reduce the profit opportunities of the fund.
- Custody risks: The custody of assets, particularly abroad, may involve a risk of loss arising from the possibility that the custodian or sub-custodian becomes insolvent, breach duties of due care or engages in abusive conduct.
- Operational risks: The fund may become a victim of fraud or other criminal actions. It may also suffer losses caused by misunderstandings or mistakes of employees of the investment management company or third parties or it may suffer damages caused by external occurrences such as natural disasters.

Charges

One-off charges taken before or after you invest:

Entry charge / Exit charge: 2.50% (currently 0.00%) / 0.00%

This is the maximum that might be taken out of your money before it is invested / before the proceeds of your investment are paid out.

Charges taken from the fund over the year:

Ongoing charge: 0.74%

Charges taken from the fund under certain specific conditions:

Performance Fee: Up to 15% of the return generated by the Fund in excess of the reference val-ue (previous maximum unit value at the end of the five previous account-ing periods) during the accounting period. In the past financial year, this excess return amounted to 2.04%. For more information see section "Administrative and other Costs" in the prospectus.

The ongoing management and custody of the fund's assets as well as the distribution of the units are financed through the fees and other costs. Arising costs decrease the chances of return for the investor.

The entry / exit charge listed here is a maximum figure. In individual cases it may be lower. You may inquire about the figure applicable to you with the distributor of the units.

The ongoing charge mentioned here was incurred in the preceding financial year of the fund which ended on June 2022. The effective charge may vary from year to year. The ongoing charges figure does not include Performance fees and charges for the purchase and sale of assets (Portfolio transaction costs). The annual report for each financial year includes details of the exactly calculated costs. Further information about costs can be found in the Section "Administrative and other Costs" in the prospectus.

Past performance

The fund (or: this unit class) has not been existing long enough for details to be given about the performance in full calendar years.

Past performance is not a guarantee for the future.

The fund was set up on 01.07.2021. This share class was set up on 01.07.2021.

Practical information

Depository of the fund is BNP Paribas Securities Services S.C.A. Zweigniederlassung Frankfurt am Main.

The prospectus and current reports, the current unit prices and further information about the Fund or further unit classes of the Fund can be found free of charge in German language on our homepage <https://fondsfinder.universal-investment.com>.

The fund is subject to the German Investment Tax Act (Investmentsteuergesetz). This may influence the manner in which you are taxed in respect to your income from the fund.

Universal-Investment-Gesellschaft mbH may solely be held liable on the basis of any statement contained in this document that is misleading, inaccurate or inconsistent with the relevant parts of the prospectus.

The Fund is authorised in Germany and regulated by Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin).

Information on the Company's current fee policy can be found at <https://www.universal-investment.com/en/Renumeration-system-EN>.

This includes a description of the calculating methods used for fees and allowances paid to certain employee groups, as well as details of persons responsible for allocation including members of the Remuneration Committee. On request, the Company will send you the relevant information free of charge.

This key investor information is accurate as at 08.09.2022.

THIS IS A TRANSLATION FROM THE GERMAN LANGUAGE KEY INVESTOR INFORMATION DOCUMENT. IT HAS BEEN PREPARED BY UNIVERSAL-INVESTMENT-GESELLSCHAFT MBH WITH CARE AND DILIGENCE. HOWEVER, THE GERMAN LANGUAGE VERSION OF THE KEY INVESTOR INFORMATION DOCUMENT REMAINS THE ONLY LEGALLY BINDING DOCUMENT.